

## Performance Outcomes Adult Specialty Mental Health Services Report

### Report Date August, 2017

#### Background

This report measures the effectiveness of adult specialty mental health services. It models reports developed to measure Early and Periodic Screening, Diagnosis, and Treatment (EPSDT) mental health services as mandated by Welfare and Institutions Code Section 14707.5. The intent of these reports is to improve outcomes at the individual, program, and system levels and inform fiscal decision-making related to the purchase of services.

Since 2012 DHCS has worked with several groups of stakeholders to create a structure for reporting, to develop a Performance Measurement Paradigm, and to design indicators and measures. The Performance Outcomes System will be used to evaluate the domains of access, engagement, service appropriateness to need, service effectiveness, linkages, cost effectiveness and satisfaction. Further information on the Performance Measures System implementation is available on the DHCS website. Documents posted include the relevant legislation, plans submitted to the Legislature, and handouts for meetings with the Stakeholder Advisory Committee back to the first meeting in 2012. To obtain this information go to: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/default.aspx>.

#### Overview

Three reports will be provided: statewide aggregate data; population-based county groups; and county-specific data. These aggregate reports provide adult information on the initial indicators that were developed for the Performance Outcomes System. DHCS plans to move to annual reporting of these data for the Performance Outcomes System.

The first series of charts and tables focus on the demographics of adults 21\* and older who are receiving SMHS based on approved claims for Medi-Cal eligible beneficiaries. Specifically, this includes demographics tables of this population by age, gender, and race/ethnicity. Utilization of services reports are shown in terms of dollars, as well as by service in time increments. Two types of penetration information are provided; both penetration rate tables are also broken out by demographic characteristics. The snapshot table provides a point-in-time view of adults arriving, exiting, and continuing services over a two-year period. The time-to-step-down table provides a view over the past four years of the time to stepdown services following inpatient discharge.

Where possible, the reports provide trend information by displaying information for Fiscal Years (FY) 12/13, 13/14, 14/15, and 15/16.

#### Definitions

**\*Population** - Beneficiaries with approved services adjudicated through the Short Doyle/Medi-Cal II claiming system that were:

- Age 21 or older during the approved date of service on the claim.

#### Data Sources -

•Short-Doyle/Medi-Cal II (SD/MC II) claims with dates of service in FY 12/13 through FY 15/16.

•Medi-Cal Eligibility Data System (MEDS) data from the Management Information System/Decision Support System (MIS/DSS) FY 12/13 through 15/16.

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**Additional Information**

The **Measures Catalog** is the companion document for these reports and provides the methodology and definitions for the measures. Each measure is defined and the numerator and denominator used to develop the metrics are provided with relevant notes and additional references. The Measures Catalog may be found at:

[http://www.dhcs.ca.gov/services/MH/Documents/MedCCC/Library/POSMeasuresCatalog\\_Sept15Reporting\\_Final\\_1.11.15.pdf](http://www.dhcs.ca.gov/services/MH/Documents/MedCCC/Library/POSMeasuresCatalog_Sept15Reporting_Final_1.11.15.pdf)

**Note on Privacy:**

The Health Insurance Portability and Accountability Act (HIPAA) and Code of Federal Regulations (CFR) 42 rules protect most individually identifiable health information in any form or medium; whether electronic, on paper, or oral. DHCS has strict rules in place to protect the identification of individuals in public reports. A “Public Aggregate Reporting – DHCS Business Reports” process has been established to maintain confidentiality of client Personal Information. The Performance Outcomes System complies with Federal and State privacy laws. Thus, the POS must appropriately and accurately de-identify data for public reporting. Due to privacy concerns, some cells in this report may have been suppressed to comply with state and federal rules. When necessary, these data are represented as follows: 1) Data that are missing is indicated as "-" 2) Data that have been suppressed due to privacy concerns is indicated as "^".

**Report Highlights**

\*County-specific findings may be interpreted alongside the POS statewide and population-based report findings.

\*The **penetration** rates reported here were calculated using a different methodology than that used by the External Quality Review Organization (EQRO). The differences in methodology makes comparison between the POS penetration rates and the EQRO penetration rates not appropriate nor useful. The POS methodology for calculating penetration rates was selected because it is easier to compute, more straightforward to interpret, and is in use by other states and counties. For the POS, the penetration rate is calculated by taking the total number of adults who received a number of SMHS (1 or 5 for POS) in a FY and dividing that by the total number of Medi-Cal eligible adults for that FY. This methodology results in lower penetration rates as compared to the EQRO rates, but it does so across the board so that all counties and the state will be similarly impacted.

\*The **snapshot** report provides a point-in-time look at adults' movement through the SMHS system. The report uses five general categories to classify if an adult is entering, exiting, continuing services, or a combination of these categories (e.g., arriving and exiting). As of now, this report only classifies adults and their service usage for FY 12/13 through FY15/16. Eventually the snapshot data will be used along with measures of service effectiveness to identify whether adults are improving as a result of receiving services from the time they first arrived in the system to when they exit the system. This methodology was adapted from the California Mental Health and Substance Use System Needs Assessment (2012). More information on the original methodology can be found here: <http://www.dhcs.ca.gov/provgovpart/pos/Pages/Performance-Outcomes-System-Reports-and-Measures-Catalog.aspx>

\*The psychiatric emergency services/hospital data measured in the **time to step-down services** report relies solely on claims data from Short Doyle/Medi-Cal II. Currently, the number of days is capped at 365 days (to mitigate the impact of extreme statistical anomalies) when calculating the mean and max for time between discharge and step down service. This methodology will be updated in the next reporting cycle. Additionally, county specific and population-based reports are based on the county of the hospital from which the patient is discharged and receives step-down services.

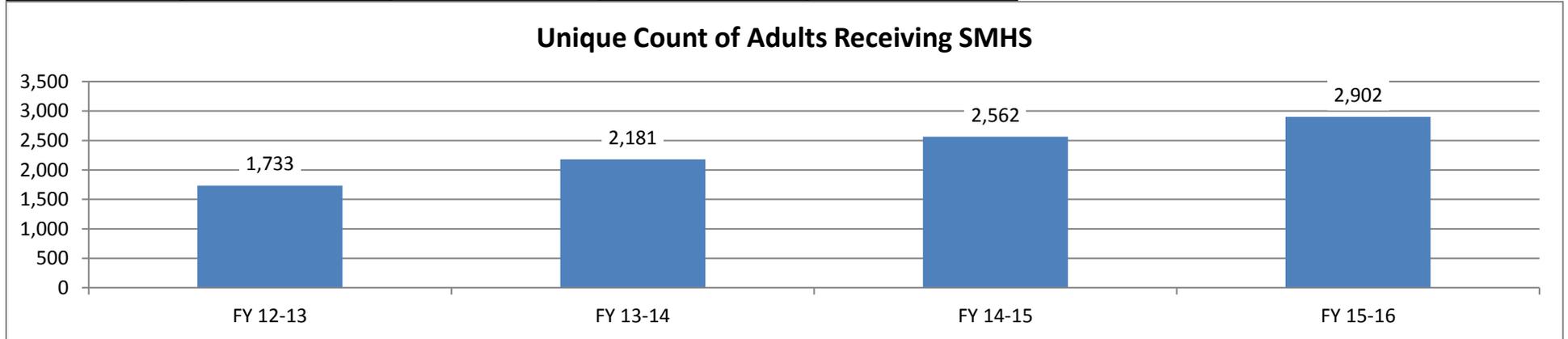
**Please contact [cmhpos@dhcs.ca.gov](mailto:cmhpos@dhcs.ca.gov) for any questions regarding this report.**

# Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year

Imperial County as of August, 2017

SFY	Unique Count Receiving SMHS*	Year-Over-Year Percentage Change	Unique Count of Medi-Cal Eligibles	Year-Over-Year Percentage Change
FY 12-13	1,733		34,376	
FY 13-14	2,181	25.9%	45,632	32.7%
FY 14-15	2,562	17.5%	55,766	22.2%
FY 15-16	2,902	13.3%	59,730	7.1%
<b>Compound Annual Growth Rate SFY**</b>		<b>18.7%</b>		<b>20.2%</b>

### Unique Count of Adults Receiving SMHS



\*SMHS = Specialty Mental Health Services. See Measures Catalog for more detailed information.

\*\*SFY = State Fiscal Year which is July 1 through June 30.

**Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year**  
Imperial County as of August, 2017

Fiscal Year	Alaskan Native or American Indian Count	Alaskan Native or American Indian %	Asian or Pacific Islander Count	Asian or Pacific Islander %	Black Count	Black %	Hispanic Count	Hispanic %	White Count	White %	Other Count	Other %	Unknown Count	Unknown %
FY 12-13	15	0.9%	^	^	51	2.9%	1,191	68.7%	310	17.9%	^	^	142	8.2%
FY 13-14	18	0.8%	16	0.7%	66	3.0%	1,485	68.1%	382	17.5%	19	0.9%	195	8.9%
FY 14-15	15	0.6%	14	0.5%	75	2.9%	1,801	70.3%	437	17.1%	20	0.8%	200	7.8%
FY 15-16	23	0.8%	16	0.6%	80	2.8%	2,080	71.7%	454	15.6%	20	0.7%	229	7.9%

**CHARTS NOT PRODUCED DUE TO SMALL CELL SIZES.**

*Please note: This report uses the Medi-Cal Eligibility Data System to obtain race/ethnicity data. CDSS uses Child Welfare Services/Case Management System to obtain race/ethnicity data. For more information, please refer to the Measures Catalog.*

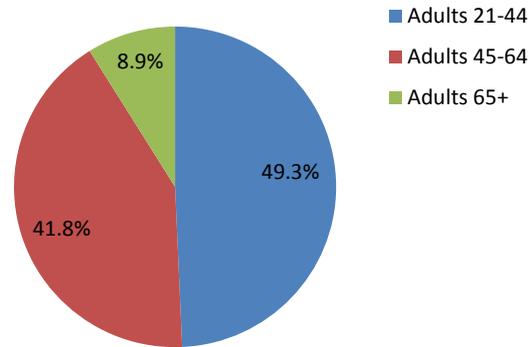
*^ Data has been suppressed to protect patient privacy.*

## Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year

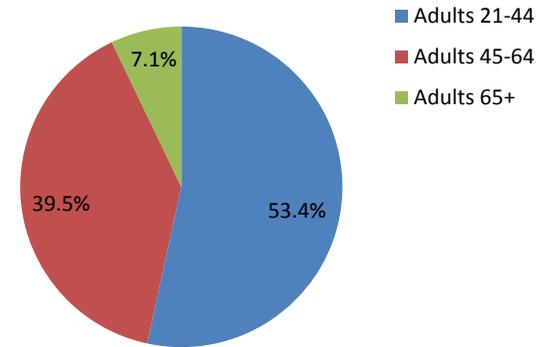
Imperial County as of August, 2017

Fiscal Year	Adults 21-44 Count	Adults 21-44 %	Adults 45-64 Count	Adults 45-64 %	Adults 65+ Count	Adults 65+ %
FY 12-13	855	49.3%	724	41.8%	154	8.9%
FY 13-14	1,164	53.4%	862	39.5%	155	7.1%
FY 14-15	1,420	55.4%	968	37.8%	174	6.8%
FY 15-16	1,644	56.7%	1,064	36.7%	194	6.7%

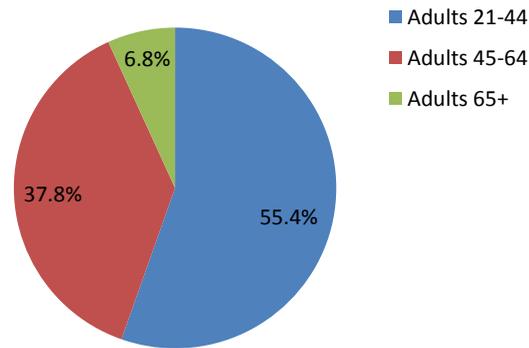
**Fiscal Year 12-13 Age Group Distribution**



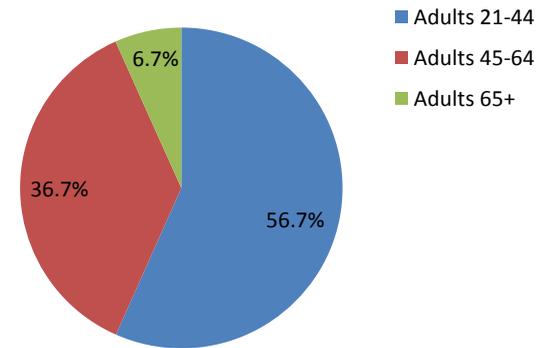
**Fiscal Year 13-14 Age Group Distribution**



**Fiscal Year 14-15 Age Group Distribution**



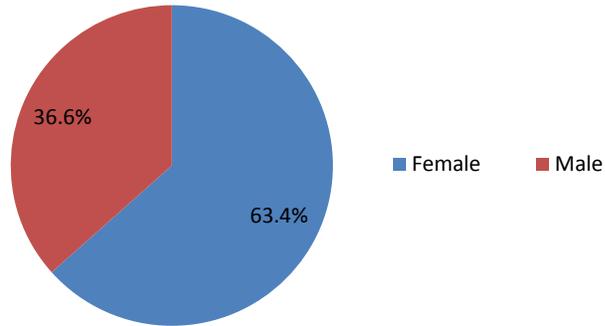
**Fiscal Year 15-16 Age Group Distribution**



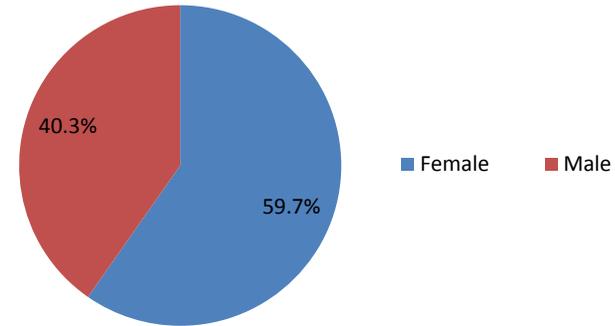
**Demographics Report: Unique Count of Adults Receiving SMHS by Fiscal Year**  
Imperial County as of August, 2017

Fiscal Year	Female Count	Female %	Male Count	Male %
FY 12-13	1,099	63.4%	634	36.6%
FY 13-14	1,303	59.7%	878	40.3%
FY 14-15	1,479	57.7%	1,083	42.3%
FY 15-16	1,683	58.0%	1,219	42.0%

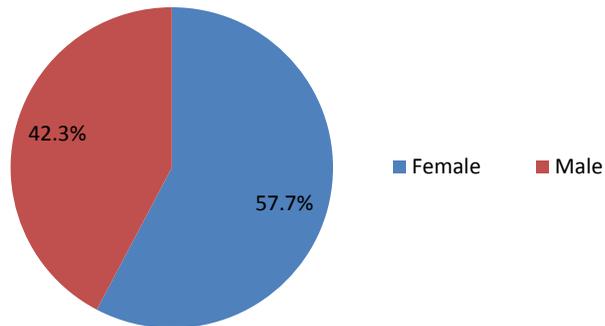
**Fiscal Year 12-13 Gender Distribution**



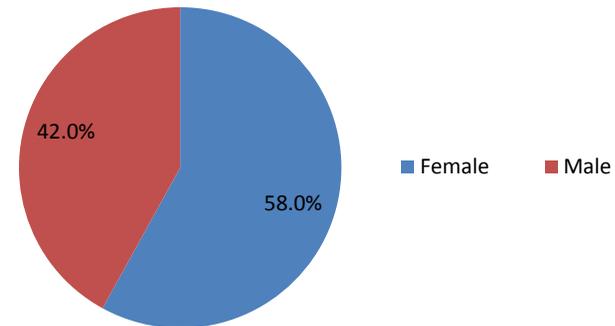
**Fiscal Year 13-14 Gender Distribution**



**Fiscal Year 14-15 Gender Distribution**



**Fiscal Year 15-16 Gender Distribution**

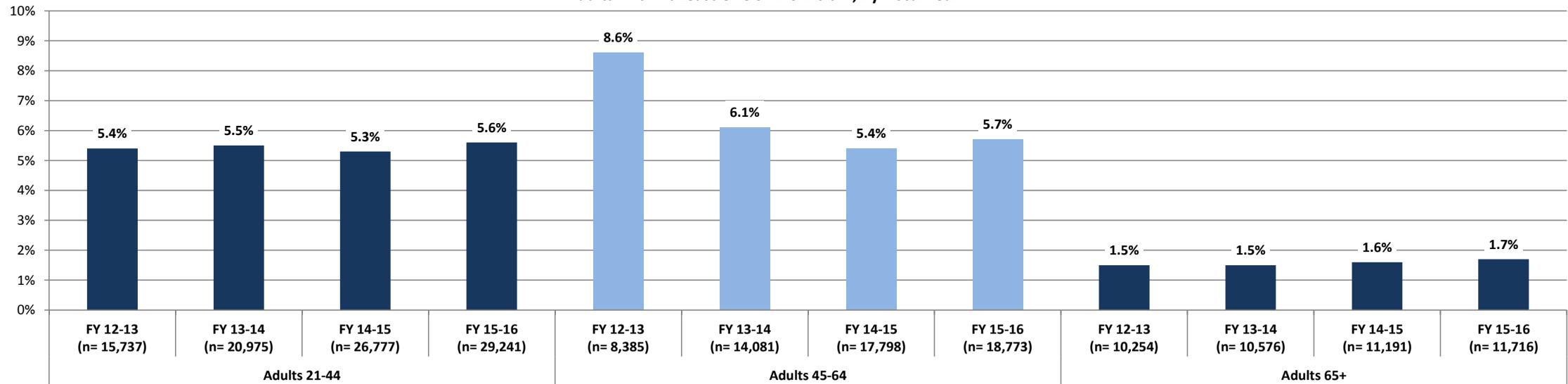


## Penetration Rates\* Report: Adults With At Least One SMHS Visit\*\*

Imperial County as of August, 2017

	FY 12-13			FY 13-14			FY 14-15			FY 15-16		
	Adults and Older Adults with 1 or more SMHS	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 1 or more SMHS	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 1 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 1 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate
<b>All</b>	<b>1,733</b>	<b>34,376</b>	<b>5.0%</b>	<b>2,181</b>	<b>45,632</b>	<b>4.8%</b>	<b>2,562</b>	<b>55,766</b>	<b>4.6%</b>	<b>2,902</b>	<b>59,730</b>	<b>4.9%</b>
Adults 21-44	855	15,737	5.4%	1,164	20,975	5.5%	1,420	26,777	5.3%	1,644	29,241	5.6%
Adults 45-64	724	8,385	8.6%	862	14,081	6.1%	968	17,798	5.4%	1,064	18,773	5.7%
Adults 65+	154	10,254	1.5%	155	10,576	1.5%	174	11,191	1.6%	194	11,716	1.7%
Alaskan Native or American Indian	15	272	5.5%	18	319	5.6%	15	357	4.2%	23	378	6.1%
Asian or Pacific Islander	^	235	^	16	409	3.9%	14	479	2.9%	16	472	3.4%
Black	51	477	10.7%	66	620	10.6%	75	728	10.3%	80	729	11.0%
Hispanic	1,191	27,821	4.3%	1,485	37,203	4.0%	1,801	45,980	3.9%	2,080	49,686	4.2%
White	310	3,029	10.2%	382	3,903	9.8%	437	4,508	9.7%	454	4,579	9.9%
Other	^	221	^	19	273	7.0%	20	328	6.1%	20	352	5.7%
Unknown	142	2,321	6.1%	195	2,905	6.7%	200	3,386	5.9%	229	3,534	6.5%
Female	1,099	21,464	5.1%	1,303	27,186	4.8%	1,479	32,159	4.6%	1,683	34,141	4.9%
Male	634	12,912	4.9%	878	18,446	4.8%	1,083	23,607	4.6%	1,219	25,589	4.8%

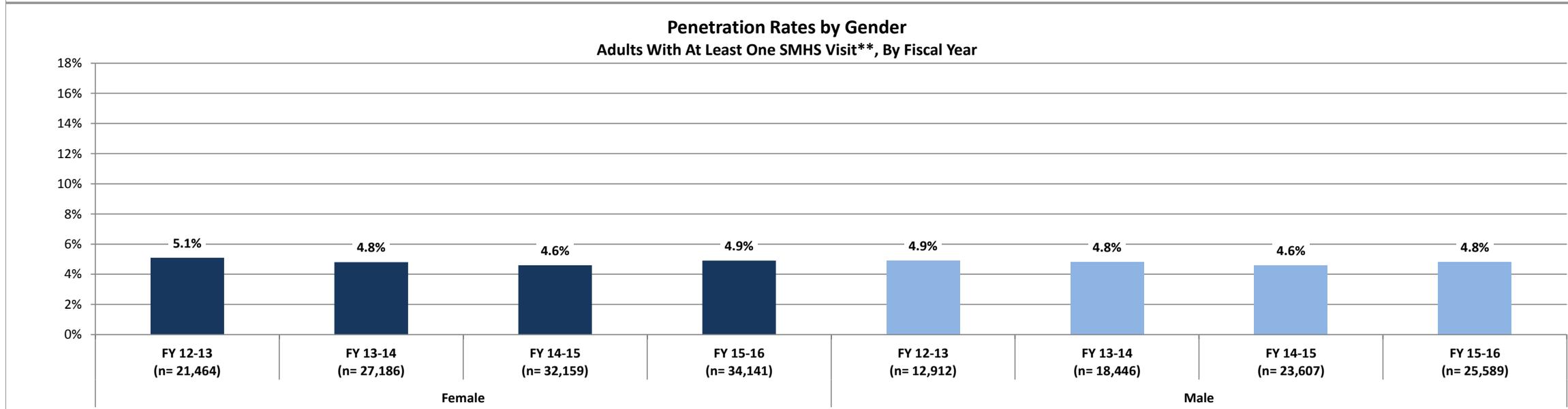
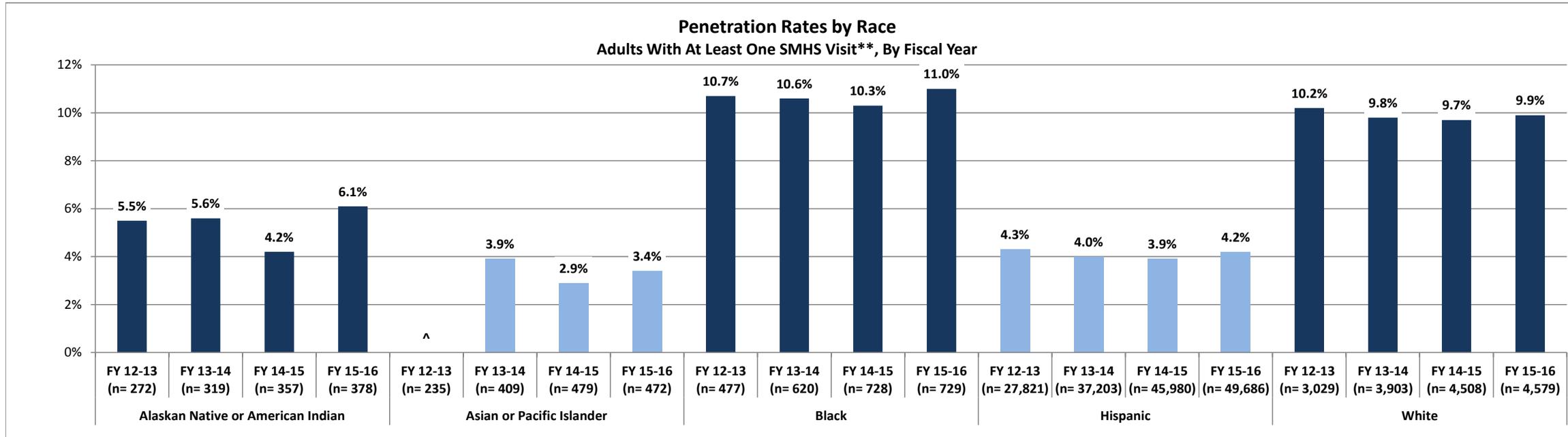
**Penetration Rates by Age**  
Adults With At Least One SMHS Visit\*\*, By Fiscal Year



\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

\*\*Adults and Older Adults at least one SMHS that was claimed through the Short-Doyle/ Medi-Cal claiming system on at least one (1) day in the Fiscal Year.

**Penetration Rates\* Report: Adults With At Least One SMHS Visit\*\***  
Imperial County as of August, 2017



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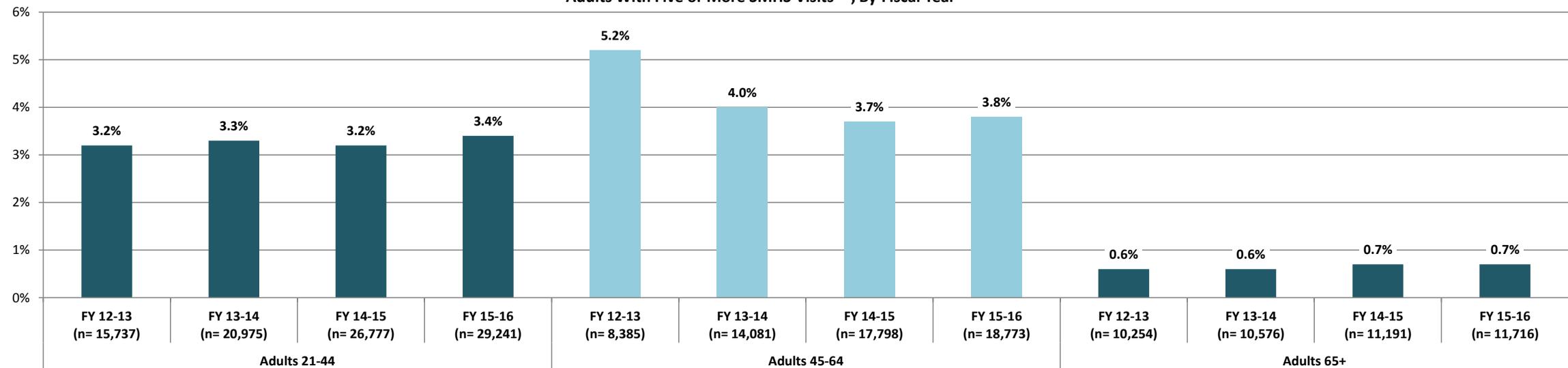
## Penetration Rates\* Report: Adults with Five or More SMHS Visits\*\*

Imperial County as of August, 2017

	FY 12-13			FY 13-14			FY 14-15			FY 15-16		
	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate	Adults and Older Adults with 5 or more SMHS Visits	Certified Eligible Adults and Older Adults	Penetration Rate
<b>All</b>	<b>1,001</b>	<b>34,376</b>	<b>2.9%</b>	<b>1,312</b>	<b>45,632</b>	<b>2.9%</b>	<b>1,605</b>	<b>55,766</b>	<b>2.9%</b>	<b>1,788</b>	<b>59,730</b>	<b>3.0%</b>
Adults 21-44	500	15,737	3.2%	686	20,975	3.3%	864	26,777	3.2%	996	29,241	3.4%
Adults 45-64	436	8,385	5.2%	560	14,081	4.0%	660	17,798	3.7%	710	18,773	3.8%
Adults 65+	65	10,254	0.6%	66	10,576	0.6%	81	11,191	0.7%	82	11,716	0.7%
Alaskan Native or American Indian	11	272	4.0%	15	319	4.7%	11	357	3.1%	15	378	4.0%
Asian or Pacific Islander	^	235	^	^	409	^	^	479	^	11	472	2.3%
Black	36	477	7.5%	40	620	6.5%	57	728	7.8%	49	729	6.7%
Hispanic	670	27,821	2.4%	867	37,203	2.3%	1,079	45,980	2.3%	1,256	49,686	2.5%
White	187	3,029	6.2%	248	3,903	6.4%	296	4,508	6.6%	294	4,579	6.4%
Other	^	221	^	^	273	^	^	328	^	11	352	3.1%
Unknown	86	2,321	3.7%	124	2,905	4.3%	140	3,386	4.1%	152	3,534	4.3%
Female	626	21,464	2.9%	776	27,186	2.9%	925	32,159	2.9%	1,051	34,141	3.1%
Male	375	12,912	2.9%	536	18,446	2.9%	680	23,607	2.9%	737	25,589	2.9%

### Penetration Rates by Age

Adults With Five or More SMHS Visits\*\*, By Fiscal Year



\*Penetration Rate is defined as the percentage of SMHS eligible beneficiaries that have received a SMHS that was claimed via the Short-Doyle/Medi-Cal claiming system. This does not include non-specialty mental health services provided in Medi-Cal Managed Care system.

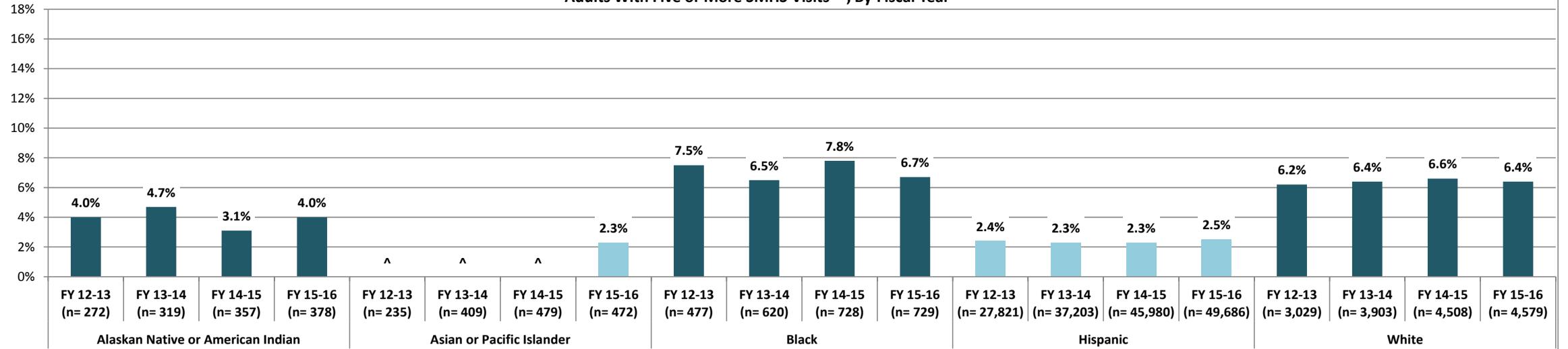
\*\*Adults and Older Adults that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

# Penetration Rates\* Report: Adults with Five or More SMHS Visits\*\*

Imperial County as of August, 2017

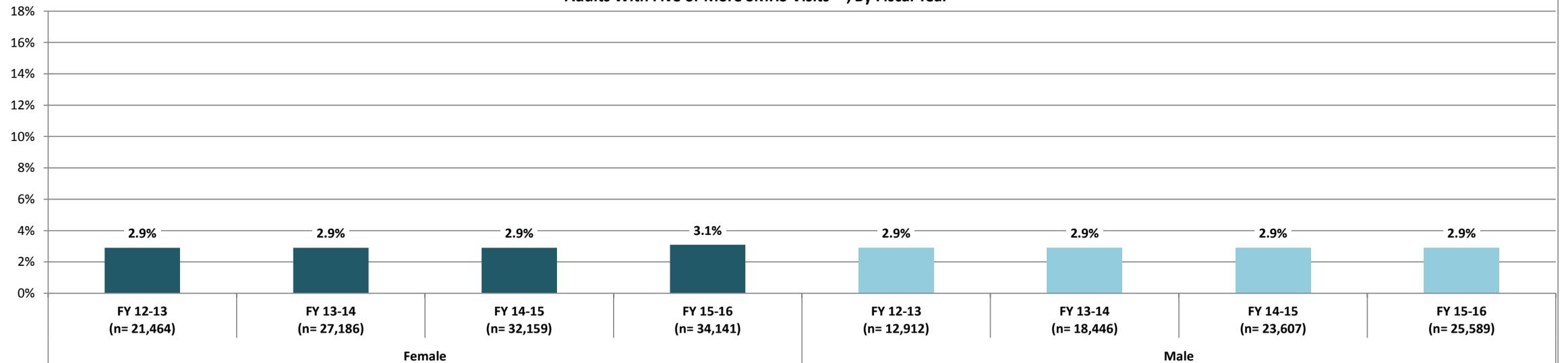
## Penetration Rates by Race

Adults With Five or More SMHS Visits\*\*, By Fiscal Year



## Penetration Rates by Gender

Adults With Five or More SMHS Visits\*\*, By Fiscal Year



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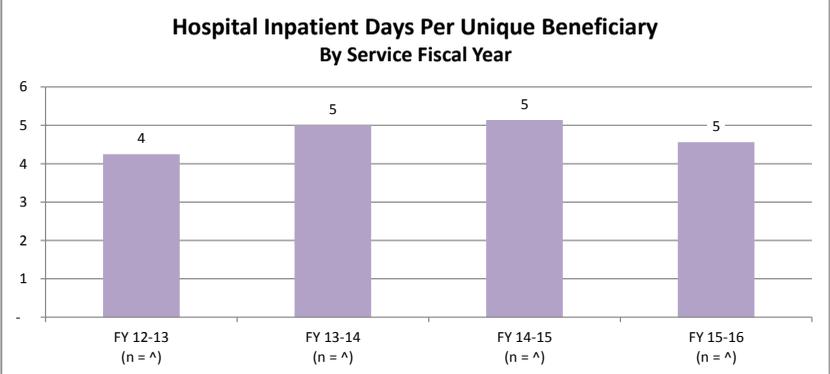
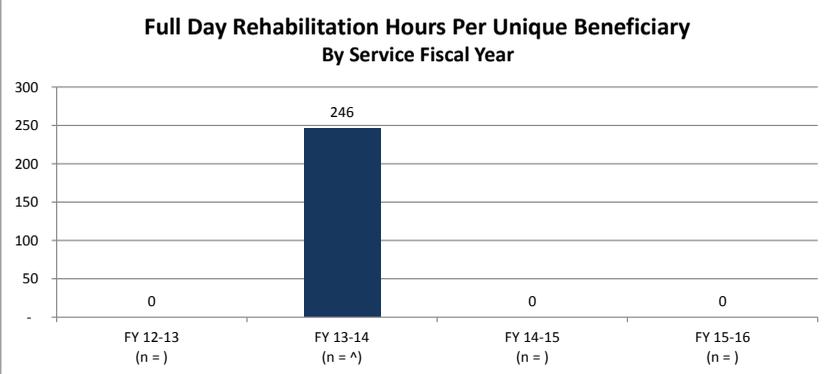
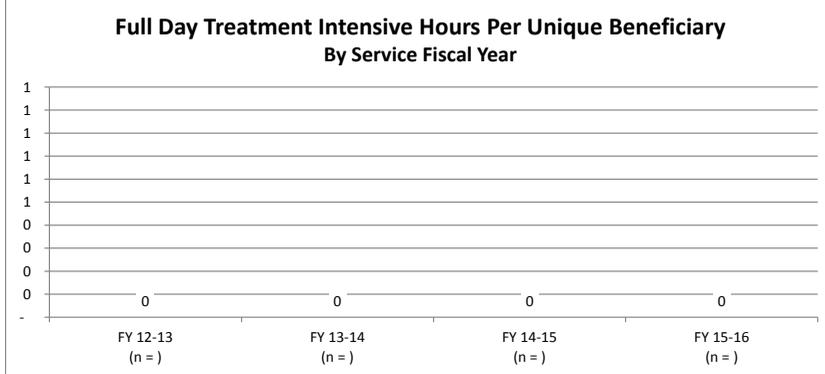
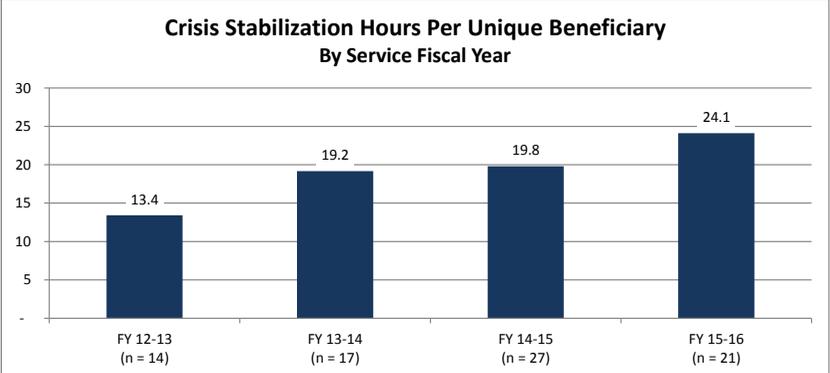
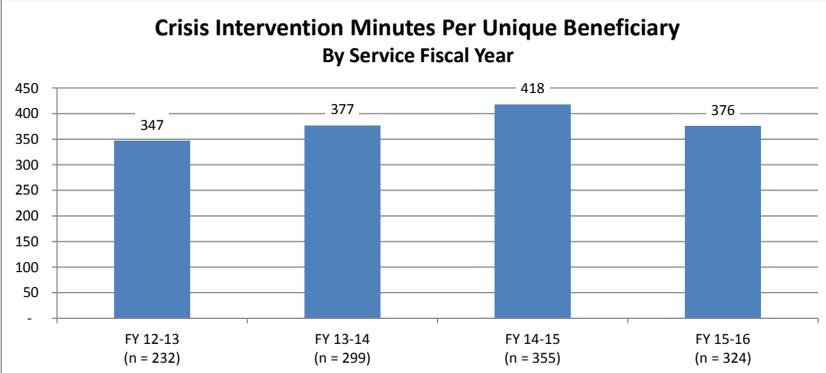
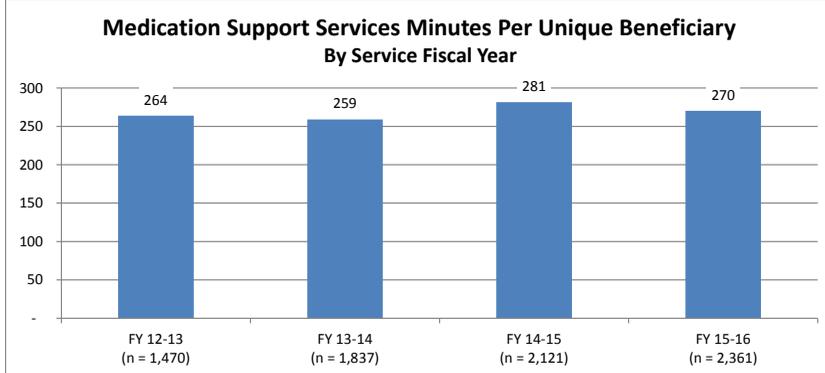
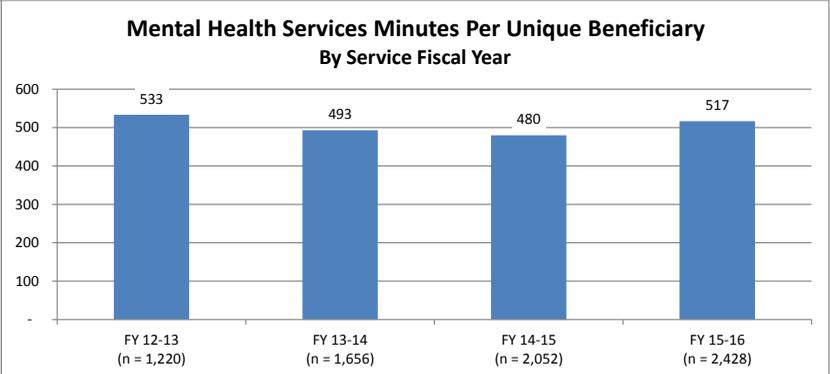
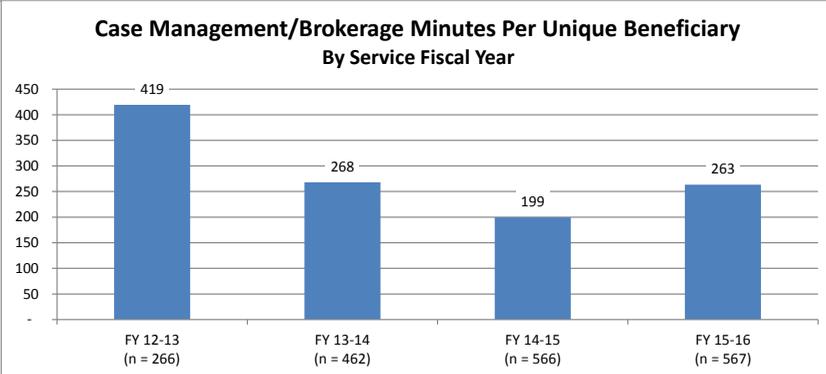
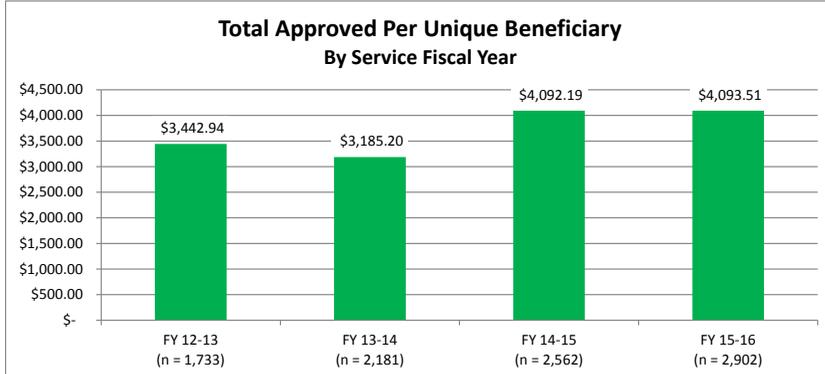
\*\*Adults and Older Adults that have received at least five SMHS that were claimed through the Short-Doyle/ Medi-Cal claiming system on at least five (5) or more different days in the Fiscal Year.

^ Data has been suppressed to protect patient privacy.

**Utilization Report\*: Approved Specialty Mental Health Services for Adults  
Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year\***

Imperial County as of August, 2017

Fiscal Year	SDMC Total Approved	Case Management/ Brokerage (Minutes)	Mental Health Services (Minutes)	Medication Support Services (Minutes)	Crisis Intervention (Minutes)	Crisis Stabilization (Hours)	Full Day Treatment Intensive (Hours)	Full Day Rehabilitation (Hours)	Hospital Inpatient (Days)	Hospital Inpatient Admin (Days)	Fee for Service Inpatient (Days)	Crisis Residential Treatment Services (Days)	Adult Residential Treatment Services (Days)	Psychiatric Health Facility (Days)
FY 12-13	\$ 3,442.94	419	533	264	347	13	0	0	4	0	14	16	0	2
FY 13-14	\$ 3,185.20	268	493	259	377	19	0	246	5	0	9	12	71	5
FY 14-15	\$ 4,092.19	199	480	281	418	20	0	0	5	1	14	26	0	8
FY 15-16	\$ 4,093.51	263	517	270	376	24	0	0	5	0	9	14	0	5
<b>MEAN</b>	<b>\$ 3,703.46</b>	<b>288</b>	<b>506</b>	<b>269</b>	<b>380</b>	<b>19</b>	<b>0</b>	<b>246</b>	<b>5</b>	<b>1</b>	<b>12</b>	<b>17</b>	<b>71</b>	<b>5</b>

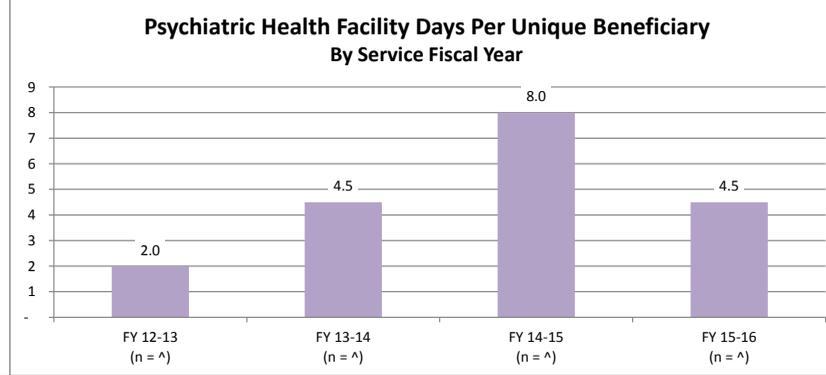
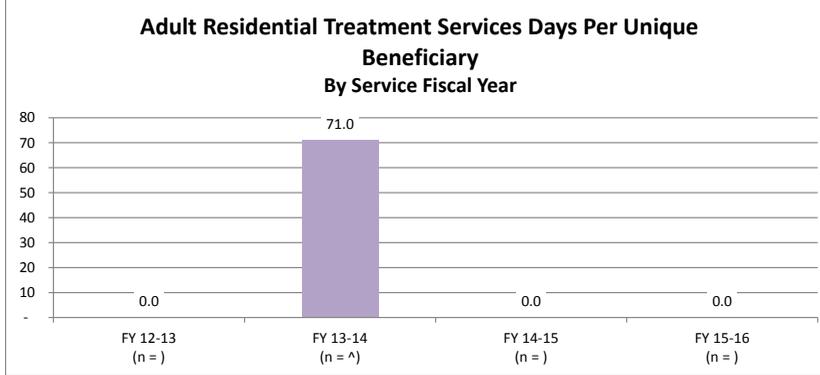
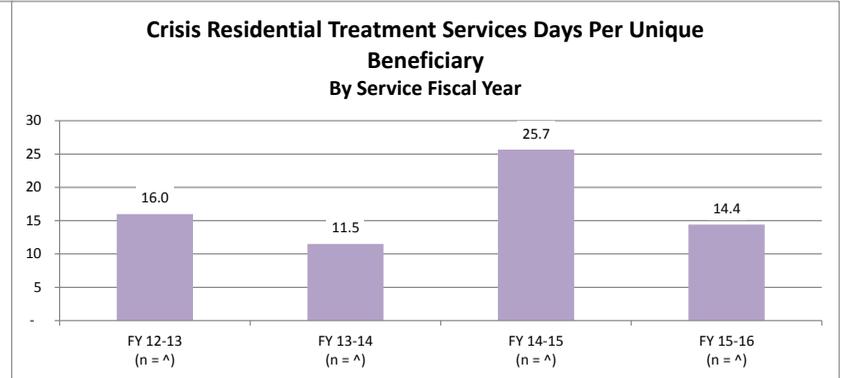
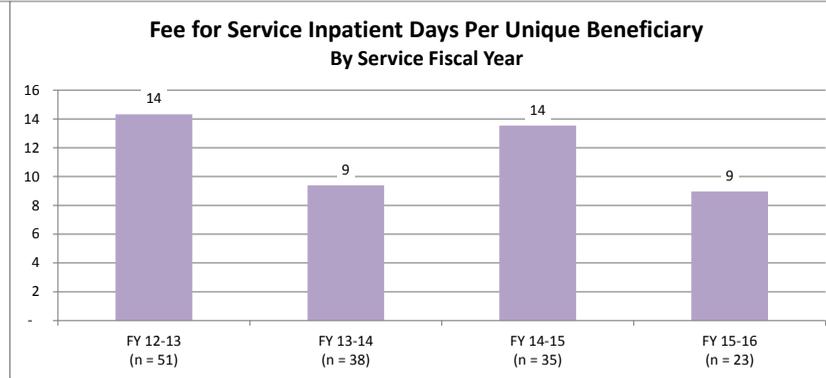
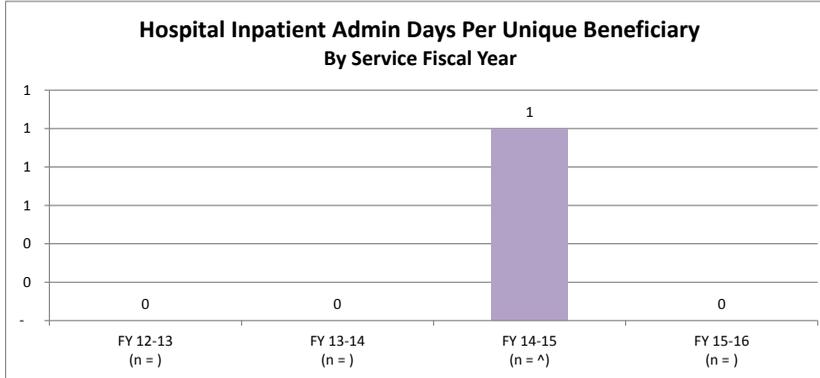


\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.

Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.

^ Data has been suppressed to protect patient privacy.

**Utilization Report\*: Approved Specialty Mental Health Services for Adults**  
**Mean Expenditures and Mean Service Quantity per Unique Beneficiary by Fiscal Year\***  
 Imperial County as of August, 2017



\*The graphs are color coded so that those reported in the same unit of analysis (e.g., minutes) are colored similarly.  
 Please note that (n) values listed at the bottom of each bar graph represent the actual number of children/youth that received the SMHS represented in their respective graph by Fiscal Year.  
 ^ Data has been suppressed to protect patient privacy.

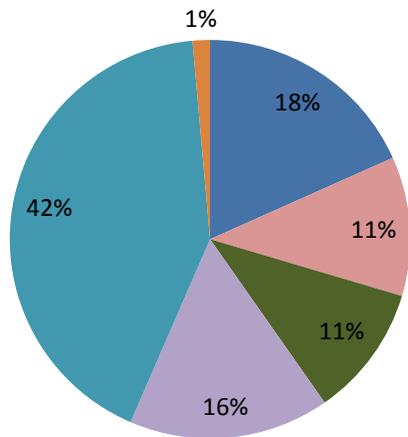
## Snapshot Report: Unique Count of Adults Receiving SMHS Arriving, Exiting, and with Service Continuance by Fiscal Year

Imperial County as of August, 2017

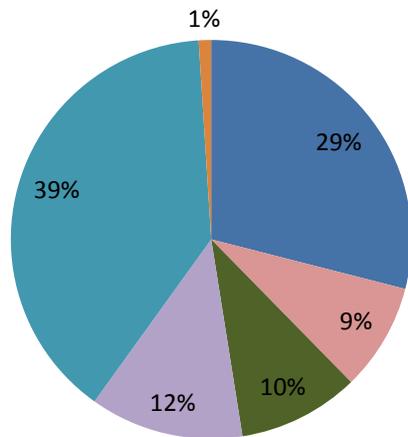
Category	Description (Please refer to the Measures Catalog for more detailed descriptions on all Performance Outcomes System measures.)
Arrivals	Adults that did not receive any SMHS within 3 months of their first date of service in the Fiscal Year.
Service Continuance	Adults receiving continuous services with no breaks in service greater than 90 days for a period of at least 2 years (>= 2 YR) or a period of 1 to 2 years (< 2 YR).
Exiting	Adults that did not receive any SMHS within 3 months after their last date of service in the Fiscal Year.
Arriving & Exiting	A distinct category in which Adults met both the criteria for Arrivals and Exiting above for the fiscal year.
Service Continuance & Exiting	A distinct category in which Adults had at least 2 years of Service Continuance going into the Fiscal Year and then Exited within the same Fiscal Year.

Service Fiscal Year	Arrivals Count	Arrivals %	Service Continuance (>= 2 YR) Count	Service Continuance (>= 2 YR) %	Service Continuance (<2 YR) Count	Service Continuance (< 2 YR) %	Exiting Count	Exiting %	Arriving & Exiting Count	Arriving & Exiting %	Service Continuance (>= 2 YR) & Exiting Count	Service Continuance (>= 2 YR) and Exiting %	Total Count	Total %
FY 12-13	318	18.3%	195	11.3%	185	10.7%	280	16.2%	730	42.1%	25	1.4%	1,733	100%
FY 13-14	633	29.0%	190	8.7%	214	9.8%	271	12.4%	852	39.1%	21	1.0%	2,181	100%
FY 14-15	574	22.4%	195	7.6%	385	15.0%	417	16.3%	969	37.8%	22	0.9%	2,562	100%
FY 15-16	636	21.9%	268	9.2%	341	11.8%	493	17.0%	1,127	38.8%	37	1.3%	2,902	100%

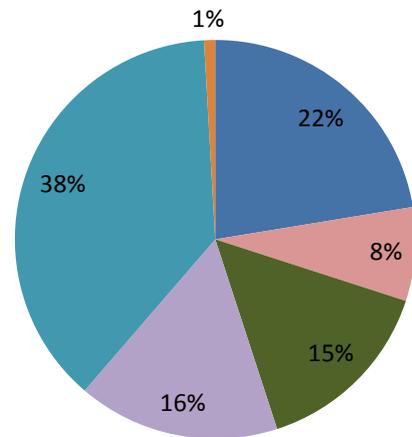
**Fiscal Year 12-13 Arrivals, Service Continuance, & Exits Distribution**



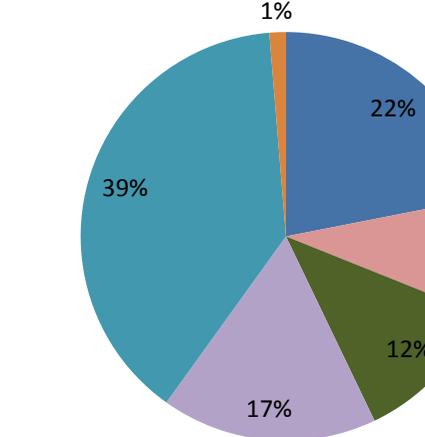
**Fiscal Year 13-14 Arrivals, Service Continuance, & Exits Distribution**



**Fiscal Year 14-15 Arrivals, Service Continuance, & Exits Distribution**



**Fiscal Year 15-16 Arrivals, Service Continuance, & Exits Distribution**



- Arrivals
- Service Continuance (>= 2 YR)
- Service Continuance (< 2 YR)
- Exiting
- Arriving & Exiting
- Service Continuance (>= 2 YR) & Exiting

**Time to Step Down Report: Adults Stepping Down in SMHS Services Post Inpatient Discharge\***

Imperial County as of August, 2017

Service FY	Count of Inpatient Discharges with Step Down within 7 Days of Discharge	Percentage of Inpatient Discharges with Step Down within 7 Days of Discharge	Count of Inpatient Discharges with Step Down Between 8 and 30 Days	Percentage of Inpatient Discharges with Step Down Between 8 and 30 Days	Count of Inpatient Discharges with a Step Down > 30 Days from Discharge	Percentage of Inpatient Discharges with a Step Down > 30 Days from Discharge	Count of Inpatient Discharges with No Step Down*	Percentage of Inpatient Discharges with No Step Down*	Minimum Number of Days between Discharge and Step Down	Maximum Number of Days between Discharge and Step Down	Mean Time to Next Contact Post Inpatient Discharge (Days)	Median Time to Next Contact Post Inpatient Discharge (Days)
FY 12-13	55	84.6%	^	^	^	^	^	^	0	219	7.9	0
FY 13-14	36	83.7%	0	0.0%	^	^	^	^	0	193	14.0	0
FY 14-15	31	60.8%	^	^	^	^	^	^	0	300	36.9	0
FY 14-15	19	67.9%	^	^	^	^	^	^	0	90	17.4	2

**CHARTS NOT PRODUCED DUE TO SMALL CELL SIZES.**

\* **No Step Down** is defined as no Medi-Cal eligible service was claimed through Short-Doyle/Medi-Cal after a claimed inpatient service was billed with a discharge date. This category may include data currently unavailable to DHCS, such as beneficiaries that were moved to a community-based program or beneficiaries that were incarcerated.

^ Data has been suppressed to protect patient privacy.